

# Frobenius' result on simple groups of order $\frac{p^3-p}{2}$

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## Abstract

The complete list of pairs of non-isomorphic finite simple groups having the same order is well-known. In particular for  $p > 3$ ,  $PSL_2(\mathbb{Z}/p)$  is the “only” simple group of order  $\frac{p^3-p}{2}$ . It's less well-known that Frobenius proved this uniqueness result in 1902. This note presents a version of Frobenius' argument that might be used in an undergraduate honors algebra course. It also includes a short modern proof, aimed at the same audience, of the much earlier result that  $PSL_2(\mathbb{Z}/p)$  is simple for  $p > 3$ ; a result stated by Galois in 1832.

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## 1 Background

Let  $p$  be a prime and  $SL_2(\mathbb{Z}/p)$  be the group of 2 by 2 determinant 1 matrices with entries in  $\mathbb{Z}/p$ . The quotient,  $PSL_2(\mathbb{Z}/p)$ , of  $SL_2(\mathbb{Z}/p)$  by  $\{\pm \mathbf{I}\}$  is for  $p > 2$  a group of order  $\frac{p^3-p}{2}$ . Galois [2] introduced and studied this group; in his 1832 letter to Auguste Chevalier he says that it is easily shown to be simple for  $p > 3$ . (There are many proofs of simplicity. I'll give a short one in section 6.) In 1902 Frobenius [1] classified certain transitive permutation groups on  $p+1$  letters up to permutation isomorphism, and deduced as a corollary that  $PSL_2(\mathbb{Z}/p)$  is the “only” simple group of order  $\frac{p^3-p}{2}$ .

Frobenius' proof of this very early result in the classification of the finite simple groups, though elementary, isn't well-known and hasn't found its way into textbooks. In this note I give a version of it, based on Sylow theory and the cyclicity of  $(\mathbb{Z}/p)^*$ . This version could perhaps be presented in an undergraduate honors algebra course. I thank Jim Humphreys for his close reading of this note, his encouragement, and his expository suggestions.

Another description of  $PSL_2(\mathbb{Z}/p)$  will be useful. Let  $V$  be the space of column vectors,  $\begin{pmatrix} x \\ y \end{pmatrix}$ , with entries in  $\mathbb{Z}/p$ .  $SL_2(\mathbb{Z}/p)$  acts on the set consisting of the

$p + 1$  one-dimensional subspaces of  $V$ . We identify this space with  $\mathbb{Z}/p \cup \{\infty\}$  as follows. Given a subspace with generator  $\begin{pmatrix} x \\ y \end{pmatrix}$ , map it to the element  $z = \frac{x}{y}$  of  $\mathbb{Z}/p \cup \{\infty\}$ . Since  $\begin{pmatrix} x \\ y \end{pmatrix}$  is mapped to  $\begin{pmatrix} x+y \\ y \end{pmatrix}$  by  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and to  $\begin{pmatrix} -y \\ x \end{pmatrix}$  by  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ , the images of  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  are the translation  $z \rightarrow z + 1$ , and the involution  $z \rightarrow -\frac{1}{z}$ . Easy arguments with row and column operations show that  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  generate  $SL_2(\mathbb{Z}/p)$ . Since the kernel of the action of  $SL_2(\mathbb{Z}/p)$  consists of  $\mathbf{I}$  and  $-\mathbf{I}$ ,  $PSL_2(\mathbb{Z}/p)$  identifies with the transitive group of permutations of  $\mathbb{Z}/p \cup \{\infty\}$  generated by  $z \rightarrow z + 1$  and  $z \rightarrow -\frac{1}{z}$ .

I'll prove the following (version of a) result of Frobenius, and its easy corollary:

**Classification Theorem.** Let  $p \neq 2$  be prime and  $G$  be a transitive group of permutations of  $\mathbb{Z}/p \cup \{\infty\}$ . Suppose  $|G| = \frac{p^3 - p}{2}$ , and that  $G$  contains the translations. Then one of the following holds:

- (a)  $z \rightarrow -\frac{1}{z}$  is in  $G$ . (In this case the description of  $PSL_2(\mathbb{Z}/p)$  given above and the fact that  $|G| = |PSL_2(\mathbb{Z}/p)|$  tell us that  $G$  is generated by  $z \rightarrow z + 1$  and  $z \rightarrow -\frac{1}{z}$ , and is permutation-isomorphic to  $PSL_2(\mathbb{Z}/p)$  in its action on the 1-dimensional subspaces of  $V$ .)
- (b)  $p = 7$  and  $G$  contains the involution  $(0\infty)(13)(26)(45)$  or the involution  $(0\infty)(15)(23)(46)$ . In these cases  $G$  is generated by  $z \rightarrow z + 1$ ,  $z \rightarrow 2z$ , and the involution, and has a normal subgroup of order 8.

**Corollary.** When  $p > 3$ ,  $PSL_2(\mathbb{Z}/p)$  is, up to isomorphism, the only simple group of order  $\frac{p^3 - p}{2}$ .

The theorem is trivial when  $p = 3$ . For now  $|G| = 12$  and  $G$  is a permutation group on 4 elements. So  $G$  consists of the even permutations, and thus contains  $(0\infty)(12)$ . In the following sections we prove the theorem for  $p > 3$ , but now we show how the corollary follows. Suppose  $G$  is a simple group of order  $\frac{p^3 - p}{2}$  with  $p > 3$ . Then  $p$  divides  $|G|$  and  $G$  has  $mp + 1$   $p$ -Sylow subgroups; since  $G$  is simple  $m > 0$ . Furthermore  $\frac{p^2 - 1}{mp + 1}$  is an integer  $\equiv -1 \pmod{p}$  and so is  $\geq p - 1$ ; it follows that  $m = 1$ .  $G$  acts on the set  $S$  consisting of the  $p + 1$   $p$ -Sylows, and by Sylow theory the action is transitive. Since  $G$  is simple, the action is faithful. Select an element  $\sigma$  of  $G$  of order  $p$ . This element acts by a  $p$ -cycle on  $S$ ; denote the element it fixes by  $\infty$ . We may label the remaining elements of  $S$  with tags in  $\mathbb{Z}/p$  so that  $\sigma$  is the translation  $(01 \cdots p - 1)$ ,  $z \rightarrow z + 1$ , of  $\mathbb{Z}/p \cup \{\infty\}$ . If we view  $G$  as a group of permutations of  $\mathbb{Z}/p \cup \{\infty\}$ , the hypotheses of the classification theorem are satisfied. Since  $G$  has no normal subgroup of order 8, we're in the situation of (a), and we conclude that  $G$  is isomorphic to  $PSL_2(\mathbb{Z}/p)$ .

## 2 Easy facts about $G$

For the rest of this note  $G$  is a group satisfying the hypotheses of the classification theorem. Then the stabilizer,  $G_\infty$ , of  $\infty$  in  $G$  contains the translations and so is transitive on  $\mathbb{Z}/p$ . Consequently:

**Lemma 2.1.**  $G$  is doubly transitive on  $\mathbb{Z}/p \cup \{\infty\}$ .

**Definition 2.2.**  $K$  is the subgroup of  $G$  consisting of elements fixing 0 and  $\infty$ .  $\bar{K}$  consists of the elements of  $G$  interchanging 0 and  $\infty$ .  $H = K \cup \bar{K}$  is the stabilizer of  $\{0, \infty\}$  in  $G$ .

Since  $G$  is doubly transitive,  $|K| = \frac{|G|}{p(p+1)} = \frac{p-1}{2}$ . Double transitivity also shows that  $\bar{K}$  is non-empty. So  $K$  is of index 2 in  $H$ , and  $|\bar{K}| = |K| = \frac{p-1}{2}$ .

**Definition 2.3.**  $R$  is the set of squares in  $(\mathbb{Z}/p)^*$ ,  $N$  the set of non-squares.

Since  $(\mathbb{Z}/p)^*$  is cyclic, so is  $R$ . Furthermore,  $|R| = |N| = \frac{p-1}{2}$ .

**Lemma 2.4.**

- (1)  $K$  is cyclic and consists of the maps  $z \rightarrow az$ ,  $a$  in  $R$ .
- (2) No element of  $G$  fixes more than 2 letters.

*Proof.*  $|G_\infty| = \frac{|G|}{p+1} = p \left( \frac{p-1}{2} \right)$ . The Sylow theorems then show that the group of translations is the unique  $p$ -Sylow subgroup of  $G_\infty$ , and so is normal in  $G_\infty$ . So for  $\tau$  in  $G_\infty$ ,  $\tau \circ (z \rightarrow z+1) = (z \rightarrow z+a) \circ \tau$  for some  $a$  in  $(\mathbb{Z}/p)^*$ . If  $\tau$  is in  $K$ ,  $\tau(0) = 0$ . Since  $\tau(z+1) = \tau z + a$ ,  $\tau(z) = az$  for all  $z$  in  $\mathbb{Z}/p$ . Now the maps  $z \rightarrow az$ ,  $a$  in  $(\mathbb{Z}/p)^*$ , form a cyclic group of order  $p-1$ . Since  $K$  is a subgroup of that group of order  $\frac{p-1}{2}$  we get (1).

Suppose next that  $\tau \neq e$  fixes 3 or more letters. By double transitivity we may assume that 2 of these letters are 0 and  $\infty$ , so that  $\tau$  is in  $K$ . But the only map  $z \rightarrow az$  fixing a third letter is  $e$ .  $\square$

**Lemma 2.5.** Suppose  $\tau \in \bar{K}$ .

- (1) If  $p \equiv 1 \pmod{4}$ ,  $-1 \in R$  and  $\tau$  stabilizes  $R$  and  $N$ .
- (2) If  $p \equiv 3 \pmod{4}$ ,  $-1 \in N$  and  $\tau$  interchanges  $R$  and  $N$ .

*Proof.* By Lemma 2.4 (1), the orbits of  $K$  acting on  $(\mathbb{Z}/p)^*$  are  $R$  and  $N$ . Since  $\tau$  normalizes  $K$  it permutes these orbits. Suppose first that  $p \equiv 1 \pmod{4}$ . Then  $|R| = \frac{p-1}{2}$  is even and  $R$  contains an element of  $(\mathbb{Z}/p)^*$  of order 2, which must be  $-1$ . Furthermore by Lemma 2.4 (1),  $z \rightarrow -z$  is in  $G$  and has an orbit  $(u, v)$  of size 2. By double transitivity some conjugate,  $\lambda$ , of this element lies

in  $\bar{K}$ , and, like  $z \rightarrow -z$ , fixes 2 letters. Such a  $\lambda$  cannot possibly interchange  $R$  and  $N$ . So it stabilizes  $R$  and  $N$ , and since  $\bar{K}$  is a coset of  $K$  in  $H$ , the same is true of all  $\tau$  in  $\bar{K}$ . Suppose next that  $p \equiv 3 \pmod{4}$ . Then  $|R| = \frac{p-1}{2}$  is odd, so  $-1$  cannot be in  $R$ . If the lemma fails there is a  $\tau$  in  $\bar{K}$  with  $\tau(1)$  in  $R$ . Since  $\bar{K}$  is a coset of  $K$  in  $H$  there is a  $\lambda$  in  $\bar{K}$  with  $\lambda(1) = 1$ . Then  $\lambda \circ \tau$  fixes the letters 0,  $\infty$  and 1. By Lemma 2.4 (2),  $\lambda$  has order 2 and fixes 1. Since  $\lambda$  is a product of disjoint 2-cycles,  $\lambda$  must fix a second letter as well. By double transitivity some conjugate of  $\lambda$  is an order 2 element of  $K$ . But  $|K| = \frac{p-1}{2}$  is odd.  $\square$

**Lemma 2.6.** Suppose  $\tau \in \bar{K}$ . Then there is an  $n$  such that whenever  $z$  is in  $(\mathbb{Z}/p)^*$  and  $a$  is in  $R$ ,  $\tau(az) = a^n \tau(z)$ . Furthermore  $\frac{p-1}{2}$  divides  $n^2 - 1$ .

*Proof.*  $\tau$  normalizes  $K$ . So  $\sigma \rightarrow \tau\sigma\tau^{-1}$  is an automorphism of  $K$  which is of the form  $\sigma \rightarrow \sigma^n$  since  $K$  is cyclic. Then  $\tau \circ (z \rightarrow az) = (z \rightarrow a^n z) \circ \tau$ , giving the first result. Since the square of the automorphism is the identity,  $\frac{p-1}{2}$  divides  $n^2 - 1$ .  $\square$

**Remark.**  $n$  is prime to  $\frac{p-1}{2}$ . So when  $p \equiv 1 \pmod{4}$ ,  $n$  is odd. We're free to modify  $n$  by  $\frac{p-1}{2}$ , and so when  $p \equiv 3 \pmod{4}$  we may (and shall) assume that  $n$  is odd as well.

### 3 The case $p \equiv 1 \pmod{4}$

In this section  $p \equiv 1 \pmod{4}$ . Our first goal is to show that the  $n$  of Lemma 2.6 can be chosen to be  $-1$ .

**Definition 3.1.**  $X$  is the set of pairs whose first element is a  $\tau$  in  $G$ , and whose second element is a size 2 orbit  $\{u, v\}$  of  $\tau$ .

**Lemma 3.2.**  $|X| = \left(\frac{p^2+p}{2}\right) \left(\frac{p-1}{2}\right)$ .

*Proof.* The number of size 2 subsets of  $\mathbb{Z}/p \cup \{\infty\}$  is  $\frac{p^2+p}{2}$ . We prove the lemma by showing that for each such subset  $\{u, v\}$  there are exactly  $\frac{p-1}{2}$  elements of  $G$  having  $\{u, v\}$  as an orbit. By double transitivity we may assume  $\{u, v\} = \{0, \infty\}$ . But  $\tau$  has  $\{0, \infty\}$  as an orbit precisely when  $\tau$  is in  $\bar{K}$ .  $\square$

**Lemma 3.3.** Every element of  $\bar{K}$  has order 2.

*Proof.* Since  $-1$  is in  $R$ ,  $\tau : z \rightarrow -z$  is in  $K$ . The letters fixed by  $\tau$  are 0 and  $\infty$ ; it follows that every element of  $G$  commuting with  $\tau$  stabilizes the set  $\{0, \infty\}$  and lies in  $H$ . So the centralizer of  $\tau$  in  $G$  has order at most

$|H| = p - 1$ , and the number of conjugates of  $\tau$  is at least  $\frac{|G|}{p-1} = \frac{p^2+p}{2}$ . Call these conjugates  $\tau_i$ . Like  $\tau$ , each  $\tau_i$  has  $\frac{p-1}{2}$  orbits of size 2. So the number of pairs whose first element is some  $\tau_i$  and whose second is an orbit of that  $\tau_i$  is at least  $\left(\frac{p^2+p}{2}\right) \cdot \left(\frac{p-1}{2}\right)$ . By Lemma 3.2 these pairs exhaust  $X$ . Suppose now that  $\lambda$  is in  $\bar{K}$ . Then  $\lambda$  has a size 2 orbit,  $\{0, \infty\}$ , and so must be some  $\tau_i$ , proving the lemma.  $\square$

**Corollary 3.4.** The  $n$  of Lemma 2.6 can be taken to be  $-1$ .

*Proof.* Take  $\tau$  in  $\bar{K}$ ,  $\sigma$  in  $K$ . By Lemma 3.3,  $(\tau\sigma)(\tau\sigma) = e$  and  $\tau = \tau^{-1}$ . So  $\tau\sigma\tau^{-1} = \sigma^{-1}$ . Examining the proof of Lemma 2.6 we get the result.  $\square$

**Corollary 3.5.** There is a  $\lambda$  in  $\bar{K}$  and a  $c$  in  $(\mathbb{Z}/p)^*$  such that:

- (1)  $\lambda(z) = z^{-1}$  for  $z$  in  $R$ .
- (2)  $\lambda(z) = cz^{-1}$  for  $z$  in  $N$ .

*Proof.* By Lemma 2.5 there is a  $\bar{K}$  in  $R$  with  $\lambda(1) = 1$ . The result now follows from Corollary 3.4 and Lemma 2.6  $\square$

Suppose we can show that the  $c$  of Corollary 3.5 is 1. Then, composing  $\lambda$  with the element  $z \rightarrow -z$  of  $K$  we deduce that  $z \rightarrow -\frac{1}{z}$  is in  $G$ . So to prove the classification theorem for  $p \equiv 1 \pmod{4}$  it's enough to show that  $c = 1$ .

**Proposition 3.6.** When  $p \equiv 1 \pmod{4}$ ,  $z \rightarrow -\frac{1}{z}$  is in  $G$ .

*Proof.* Let  $\alpha(z) = 1 - \lambda(z)$  with  $\lambda$  as in Corollary 3.5. Since  $-1$  is in  $R$ ,  $\alpha$  is in  $G$ . Using the fact that  $\lambda \circ \lambda = e$  we see that  $\alpha^{-1}(z) = \lambda(1 - z)$ . Now  $\alpha(0) = \infty$ ,  $\alpha(\infty) = 1$ ,  $\alpha(1) = 1 - 1 = 0$ . So  $\alpha \circ \alpha \circ \alpha$  fixes the letters 0,  $\infty$  and 1. By Lemma 2.4 (2),  $\alpha$  has order 3.

Since  $p \equiv 1 \pmod{4}$ ,  $p - 1$  is in  $R$ . As not all of  $1, 2, \dots, p - 2$  are in  $R$  there is an  $x$  in  $R$  with  $x - 1$  in  $N$ . The paragraph above shows that  $\alpha(\alpha(x)) = \alpha^{-1}(x) = \lambda(1 - x)$ . We'll use this to show that  $c = 1$ . Since  $\lambda(x) = -\frac{1}{x}$ ,  $\alpha(x) = \frac{x-1}{x}$  is in  $N$ . Consequently,  $\lambda(\alpha(x)) = \frac{cx}{x-1}$ . Then  $\alpha(\alpha(x)) = \frac{x-1-cx}{x-1}$  while  $\lambda(1 - x) = -\frac{c}{x-1}$ . So  $x - 1 = cx - c$ , and  $c = 1$ .  $\square$

#### 4 $p \equiv 3 \pmod{4}$ . The main case

In this section  $p \equiv 3 \pmod{4}$ .

**Lemma 4.1.** There is a unique  $\lambda$  in  $\bar{K}$  with  $-\lambda(1)\lambda(-1) = 1$ . Furthermore  $\lambda$  has order 2.

*Proof.* Fix  $\tau$  in  $\bar{K}$ . By Lemma 2.5,  $-1$  and  $\tau(1)$  are in  $N$  while  $\tau(-1)$  is in  $R$ . So  $u = -\tau(1)\tau(-1)$  is in  $R$ . Replacing  $\tau$  by  $z \rightarrow v\tau(z)$  with  $v$  in  $R$  multiplies  $-\tau(1)\tau(-1)$  by  $v^2$ . Since there is a unique  $v$  in  $R$  with  $v^2 = u^{-1}$  we get the existence and uniqueness of  $\lambda$ . By Lemma 2.5 there are  $a$  and  $b$  in  $R$  with  $\lambda(a) = -1$ ,  $\lambda(-b) = 1$ . Taking  $n$  as in Lemma 2.6 we find that  $a^n\lambda(1) = -1$ ,  $b^n\lambda(-1) = 1$ . Multiplying we see that  $(ab)^n = 1$ , so  $ab = 1$ . Now  $-\lambda^{-1}(-1)\lambda^{-1}(1) = (-a)(-b) = 1$ , and the uniqueness of  $\lambda$  tells us that  $\lambda = \lambda^{-1}$ .  $\square$

**Corollary 4.2.** Choose  $n$  odd as in Lemma 2.6. Then there is a  $\lambda$  of order 2 in  $\bar{K}$  and a  $c$  in  $N$  with

- (1)  $\lambda(z) = cz^n \quad z \text{ in } R$
- (2)  $\lambda(z) = c^{-1}z^n \quad z \text{ in } N$
- (3)  $c^n = c$

*Proof.* Take  $\lambda$  as in Lemma 4.1 and set  $c = \lambda(1)$ . By Lemma 2.5,  $c$  is in  $N$ . Since  $\lambda(1) = c$ ,  $\lambda(-1) = -\frac{1}{c} = \frac{1}{c} \cdot (-1)^n$ . Lemma 2.6 then gives (1) and (2). Since  $c$  is in  $N$ ,  $\lambda(c) = c^{-1} \cdot c^n$ . But as  $\lambda$  has order 2,  $\lambda(c) = 1$ .  $\square$

**Lemma 4.3.** Let  $\alpha(z) = 1 - c^{-1}\lambda(z)$  with  $c$  and  $\lambda$  as above. Then  $\alpha$  is an element of  $G$  of order 3 and  $\alpha^{-1}(z) = \lambda(c(1 - z))$ .

*Proof.* Since  $c$  is in  $N$ ,  $-c^{-1}$  is in  $R$ , and  $\alpha$  is in  $G$ . Also  $\alpha(0) = \infty$ ,  $\alpha(\infty) = 1$  and  $\alpha(1) = 1 - c^{-1} \cdot c = 0$ . So  $\alpha \circ \alpha \circ \alpha$  fixes the letters 0,  $\infty$  and 1; by Lemma 2.4 (2),  $\alpha$  has order 3. Finally if  $\mu$  is the map  $z \rightarrow \lambda(c(1 - z))$ , then  $\mu(\alpha(z)) = \lambda(\lambda(z)) = z$ , and so  $\alpha^{-1} = \mu$ .  $\square$

The proof of the classification theorem for  $p \equiv 3 \pmod{4}$  now divides into 2 subcases. In this section we treat the “main case” where the  $c$  of Corollary 4.2 is  $-1$ , showing that  $n \equiv -1 \pmod{p-1}$  so that  $\lambda(z) = -\frac{1}{z}$  for all  $z$ . The “special case”,  $c \neq -1$ , which leads to conclusion (b) of the classification theorem will be handled in the next section — it’s a bit more technical.

**Lemma 4.4.** In the main case the only solutions of  $x^n = x$  in  $(\mathbb{Z}/p)^*$  are 1 and  $-1$ .

*Proof.* Since  $c = -1$ ,  $c^{-1} = -1$ , and  $\lambda(x) = -x^n$  for all  $x$  in  $(\mathbb{Z}/p)^*$ . Thus  $\alpha(x) = 1 - x^n$ . Suppose now that  $x \neq 1$  is in  $(\mathbb{Z}/p)^*$  with  $x^n = x$ . Then  $\alpha(x) = 1 - x$  and so  $\alpha(\alpha(x)) = 1 - (1 - x)^n$ . By Lemma 4.3,  $\alpha^{-1}(x) = \lambda(x - 1) = -(x - 1)^n = (1 - x)^n$ . Since  $\alpha(\alpha(x)) = \alpha^{-1}(x)$ ,  $(1 - x)^n = \frac{1}{2}$ .

Raising to the  $n$ th power we find that  $1 - x = 2^{-n}$ . So 1 and  $1 - 2^{-n}$  are the only possible solutions of  $x^n = x$  in  $(\mathbb{Z}/p)^*$ . Since 1 and  $-1$  are solutions we're done.  $\square$

**Proposition 4.5.** Suppose  $p \equiv 3 \pmod{4}$ . In the main case,  $\lambda$  is the map  $z \rightarrow -\frac{1}{z}$ , and so  $z \rightarrow -\frac{1}{z}$  is in  $G$ .

*Proof.* By Lemma 4.4 the only solution of  $x^n = x$  in the cyclic group  $R$  of order  $\frac{p-1}{2}$  is 1. So  $n - 1$  is prime to  $\frac{p-1}{2}$ . Now  $\frac{p-1}{2}$  divides  $(n + 1)(n - 1)$  by Lemma 2.6. So it divides  $n + 1$ , and as  $n$  is odd,  $n \equiv -1 \pmod{p-1}$ . Then for  $z$  in  $(\mathbb{Z}/p)^*$ ,  $\lambda(z) = -z^n = -\frac{1}{z}$ . Furthermore  $\lambda(0) = \infty$ ,  $\lambda(\infty) = 0$ .  $\square$

## 5 $p \equiv 3 \pmod{4}$ . The special case

We continue with the notation of Section 4 but now assume  $c \neq -1$

**Lemma 5.1.** Let  $x$  be a power of  $-c$ , and suppose that  $1 - x$  is in  $N$ . Then:

- (a)  $\alpha(\alpha(x)) = 1 - c^{-2}(1 - x)^n$
- (b)  $\alpha(\alpha(x^{-1})) = 1 + x^{-1}(1 - x)^n$
- (c)  $\alpha^{-1}(x) = c^2(1 - x)^n$
- (d)  $\alpha^{-1}(x^{-1}) = -x^{-1}(1 - x)^n$

*Proof.* Since  $c^n = c$  and  $n$  is odd,  $x^n = x$ . Since  $c$  is in  $N$ ,  $x$  is in  $R$ . Thus  $\alpha(x) = 1 - c^{-1}(cx^n) = 1 - x$ , and similarly  $\alpha(x^{-1}) = 1 - x^{-1} = \frac{1-x}{-x}$ , which is in  $R$ .

Now  $\alpha(\alpha(x)) = \alpha(1 - x) = 1 - c^{-1}c^{-1}(1 - x)^n$  giving (a). And  $\alpha(\alpha(x^{-1})) = \alpha\left(\frac{1-x}{-x}\right) = 1 - \left(\frac{1-x}{-x}\right)^n = 1 + x^{-1}(1 - x)^n$  giving (b). Furthermore  $\alpha^{-1}(x) = \lambda(c(1 - x))$ . Since  $c(1 - x)$  is in  $R$ , this is  $c \cdot c(1 - x)^n$ . Finally  $\alpha^{-1}(x^{-1}) = \lambda\left(\frac{c(1-x)}{-x}\right) = c^{-1}\left(\frac{c}{-x}\right) \cdot (1 - x)^n = -x^{-1}(1 - x)^n$ .  $\square$

**Lemma 5.2.** In the situation of Lemma 5.1,  $c^2 + c^{-2} + 2x^{-1} = 0$ .

*Proof.*  $\alpha(\alpha(x)) = \alpha^{-1}(x)$  by Lemma 4.3. (a) and (c) above tell us that  $(c^2 + c^{-2})(1 - x)^n = 1$ . Similarly, (b) and (d) tell us that  $2x^{-1}(1 - x)^n = -1$ . Adding these identities and noting that  $(1 - x)^n \neq 0$  we get the result.  $\square$

**Lemma 5.3.**  $c^3 = -1$ , and either  $c^4 + 3 = 0$  or  $3c^4 + 1 = 0$ .

*Proof.* There is an  $x$  in  $\{c^2, c^{-2}\}$  such that  $1 - x$  is in  $N$ . For neither  $1 - c^2$  nor  $1 - c^{-2}$  is 0, and if both were in  $R$ , their quotient,  $-c^2$ , would be in  $R$ .

Similarly there is a  $y$  in  $\{-c, -c^{-1}\}$  such that  $1 - y$  is in  $N$ . By Lemma 5.2,  $c^2 + c^{-2} + 2x^{-1}$  and  $c^2 + c^{-2} + 2y^{-1}$  are both 0. So  $x = y$ , and  $c^3 = -1$ . Also, since  $c^2 + c^{-2} + 2x^{-1} = 0$ , either  $c^2 + 3c^{-2}$  or  $3c^2 + c^{-2}$  is 0.  $\square$

**Proposition 5.4.**  $p = 7$ . Furthermore either  $c = 3$  and  $\lambda = (0\infty)(13)(26)(45)$ , or  $c = 5$  and  $\lambda = (0\infty)(15)(23)(46)$

*Proof.* Suppose  $c^4 + 3 = 0$ . Then, since  $c^3 = -1$ ,  $c = 3$ . Also  $27 = -1$  in  $\mathbb{Z}/p$ , and so  $p = 7$ . We know that  $c^n = c$  in  $(\mathbb{Z}/p)^*$ . Since  $c = 3$  is a generator of  $(\mathbb{Z}/7)^*$ ,  $z^n = z$  for all  $z$  in  $(\mathbb{Z}/7)^*$ . In particular if  $z$  is in  $R$ ,  $\lambda(z) = cz^n = 3z$ , and so  $\lambda = (0\infty)(13)(26)(45)$ .

Suppose  $3c^4 + 1 = 0$ . Then since  $c^3 = -1$ ,  $3c = 1$ . So  $27c^3 = 1$ ,  $-27 = 1$  in  $\mathbb{Z}/p$ , and once again  $p = 7$ . Since  $3c = 1$ ,  $c = 5$ . Arguing as in the paragraph above we find that  $\lambda = (0\infty)(15)(23)(46)$ .  $\square$

Suppose now that  $c = 3$ . Then  $z \rightarrow z + 1$  is in  $G$ , and since 2 is in  $R$ ,  $z \rightarrow 2z$  is also in  $G$ . To complete the proof of the classification theorem for  $c = 3$  it suffices to show that the group of permutations of  $\mathbb{Z}/7 \cup \{\infty\}$  generated by  $z \rightarrow z + 1$ ,  $z \rightarrow 2z$  and  $\lambda$  is of order 168, and has a normal subgroup of order 8 (since  $G$  contains this group, and  $|G| = 168$ ). This can be shown by brute force, but here's a conceptual argument using some of the theory of finite fields.

Let  $F$  be the field of 8 elements,  $\zeta$  be a generator of  $F^*$ , and  $U$  be the group of permutations of  $F$  generated by  $x \rightarrow x + 1$ ,  $x \rightarrow \zeta x$  and  $x \rightarrow x^2$ . If  $r$  is in  $F^*$ , the conjugate of  $x \rightarrow x + 1$  by  $x \rightarrow rx$  is  $x \rightarrow x + r$ . It follows that  $x \rightarrow x + 1$  and  $x \rightarrow \zeta x$  generate the “affine group” of  $F$ , a group of order  $7 \cdot 8 = 56$ . Furthermore  $x \rightarrow x^2$  is a permutation of  $F$  of order 3 normalizing the affine group. We conclude that  $|U| = 56 \cdot 3 = 168$ . The translations  $x \rightarrow x + a$  evidently form a normal subgroup of  $U$  with 8 elements.

Now identify  $F$  with  $\mathbb{Z}/7 \cup \{\infty\}$  by mapping 0 to  $\infty$  and  $\zeta^i$  to  $i$ . Then  $U$  may be viewed as a group of permutations of  $\mathbb{Z}/7 \cup \{\infty\}$  of order 168.  $x \rightarrow \zeta x$  is the permutation  $z \rightarrow z + 1$ , while  $x \rightarrow x^2$  is the permutation  $z \rightarrow 2z$ . Now  $\zeta$  has degree 3 over  $\mathbb{Z}/2$ , and so  $\zeta^3 + \zeta + 1 = 0$  or  $\zeta^3 + \zeta^2 + 1 = 0$ . Choose  $\zeta$  so that  $\zeta^3 + \zeta + 1 = 0$ . Then,  $1 + 1 = 0$ ,  $1 + \zeta = \zeta^3$ ,  $1 + \zeta^2 = \zeta^6$  and  $1 + \zeta^4 = \zeta^{12} = \zeta^5$ . So  $x \rightarrow x + 1$  is the permutation  $(0\infty)(13)(26)(45)$  of  $\mathbb{Z}/7 \cup \{\infty\}$ . Thus the group generated by  $z \rightarrow z + 1$ ,  $z \rightarrow 2z$  and  $(0\infty)(13)(26)(45)$  identifies with  $U$ , and has order 168, and a normal subgroup of order 8. The argument is the same when  $c = 5$ , except that we now take  $\zeta$  with  $\zeta^3 + \zeta^2 + 1 = 0$ .



## 6 Simplicity results for $PSL_2(F)$ and final remarks

The simplicity result of Galois has been generalized in various ways. For example if  $F$  is any field with more than 3 elements, finite or infinite, then  $PSL_2(F)$  is a simple group. I'll give one of the many proofs of this result. Let  $N$  be a normal subgroup of  $SL_2(F)$  containing some non-scalar matrix. It suffices to show that  $N = SL_2(F)$ .

**Lemma 6.1.** There is an  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $N$  with  $b \neq 0$ .

*Proof.* If not, then since  $N$  is normal, every element of  $N$  also has  $c = 0$ , and so is diagonal. But if  $\begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix}$  is a non-scalar element of  $N$ , the conjugate of  $\begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix}$  by  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  isn't diagonal.  $\square$

Now let  $P$  and  $P'$  be the subgroups  $\begin{pmatrix} 1 & 0 \\ * & 1 \end{pmatrix}$  and  $\begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix}$  of  $SL_2(F)$ .  $P$  and  $P'$  evidently generate  $SL_2(F)$ . Since  $N$  is normal,  $PN = NP$ , and is the subgroup of  $SL_2(F)$  generated by  $P$  and  $N$ .

**Remark.** If we can show that  $N \supset P$ , then since it is normal it also contains  $P'$ , and so  $N = SL_2(F)$ .

**Lemma 6.2.**  $PN = NP = SL_2(F)$ .

*Proof.* Take  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $N$  as in Lemma 6.1. Multiplying this matrix on the left by  $\begin{pmatrix} 1 & 0 \\ r & 1 \end{pmatrix}$  has the effect of adding  $r \cdot$  (row 1) to row 2. So  $PN$  contains a matrix  $\begin{pmatrix} a & b \\ * & 0 \end{pmatrix}$ . Multiplying this new matrix on the right by  $\begin{pmatrix} 1 & 0 \\ s & 1 \end{pmatrix}$  has the effect of adding  $s \cdot$  (column 2) to column 1. So  $PNP = PN$  contains a matrix  $\begin{pmatrix} 0 & b \\ * & 0 \end{pmatrix}$ . This matrix conjugates  $P$  into  $P'$ . So  $PN$  contains  $P'$  as well as  $P$ , and is all of  $SL_2(F)$ .  $\square$

**Proposition 6.3.** If  $|F| > 3$ ,  $N \supset P$ . So by the remark above,  $N = SL_2(F)$ . Consequently  $SL_2(F)$  is simple.

*Proof.* Take  $a \neq 0, 1$  or  $-1$  in  $F$  and let  $d = a^{-1}$ . By Lemma 6.2,  $\begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -r & 1 \end{pmatrix} \cdot B$  for some  $B$  in the normal subgroup  $N$ . Then  $B = \begin{pmatrix} 1 & 0 \\ r & 1 \end{pmatrix} \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix} = \begin{pmatrix} a & 0 \\ ra & d \end{pmatrix}$ . A short calculation shows that as  $A$  runs over all the elements of  $P$ ,  $(ABA^{-1}) \cdot B^{-1}$  also runs over all the elements of  $P$ . Since each  $(ABA^{-1}) \cdot B^{-1}$  is in  $N$ , we're done.  $\square$

In presenting the material of this note to a class one might add the following remarks:

- (1) Let  $F$  be the field of  $q$  elements where  $q$  is a prime power. Then  $PSL_2(F)$  has order  $\frac{q^3-q}{2}$  or  $q^3-q$  according as  $q$  is odd or even. By Proposition 6.3 these groups are simple for  $q > 3$ .
- (2) If  $F$  is the field of  $q$  elements it's true that the "only" simple group having the same order as  $PSL_2(F)$  is  $PSL_2(F)$  itself. But I think that all proofs of this generalization of Frobenius' result are very difficult. The case  $q = 4$  is trivial — since  $4^3 - 4 = \frac{5^3-5}{2} = 60$ , uniqueness when  $q = 4$  follows from the uniqueness when  $q = 5$ . The next cases of interest are  $q = 9$  when  $|G| = \frac{9^3-9}{2} = 360$ , and  $q = 8$  when  $|G| = 8^3 - 8 = 504$ . In 1893, F. N. Cole, [3] (best known to mathematicians for the establishment in his honor of the Cole prize), used intricate arguments to handle these cases. He starts by showing that  $G$  is isomorphic to a doubly transitive permutation group on  $q+1$  letters. But this is no longer an easy consequence of Sylow theory, as it is in the case of prime  $q$ .
- (3) For  $n > 2$ , let  $SL_n(F)$  be the group of  $n$  by  $n$  determinant 1 matrices with entries in  $F$ , and  $PSL_n(F)$  be the quotient of  $SL_n(F)$  by the group of determinant 1 scalar matrices. It can be shown that for all  $F$  and for all  $n > 2$  the group  $PSL_n(F)$  is simple. But now the generalization of Frobenius' theorem has an exception. If  $F$  is the field of 4 elements then  $PSL_3(F)$  and  $PSL_4(\mathbb{Z}/2)$  are non-isomorphic simple groups of order 20,160. (The group of even permutations of 8 letters is also simple of order 20,160, but it is isomorphic to  $PSL_4(\mathbb{Z}/2)$ .)

## References

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- [3] F. N. Cole. Simple Groups as far as order 660. Amer. J. of Math., v. 15 no. 4 (1893), 303–315.